

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

Docket Number (Optional)
12194-1/JWE

Applicant, Patentee, or Identifier: Michael Rogerson, et al.
Application or Patent No.: to be assigned
Filed or Issued: herewith
Title: MODULAR ENTERTAINMENT SYSTEM CONFIGURED FOR MULTIPLE BROADBAND CONTENT DELIVERY INCORPORATING A DISTRIBUTED SERVER

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Rogerson Aircraft

ADDRESS OF SMALL BUSINESS CONCERN 2201 Alton Parkway, Irvine, California 92606

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Michael Rogerson

TITLE OF PERSON IF OTHER THAN OWNER CEO

ADDRESS OF PERSON SIGNING 2201 Alton Parkway, Irvine, California
92606

SIGNATURE _____ DATE _____

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As an inventor, I declare:

My residence address and citizenship are as stated next to my name. I believe I am the original, first and sole inventor (if only one name is listed below), or a joint inventor (if plural names are listed below) of the invention claimed in the patent application entitled:

- (1) **MODULAR ENTERTAINMENT SYSTEM CONFIGURED FOR MULTIPLE BROADBAND CONTENT DELIVERY INCORPORATING A DISTRIBUTED SERVER** the specification of which
- (2) X is attached.
- (3) was filed on as Serial No. and was amended on (if applicable).

I have reviewed and understand the contents of the specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 CFR § 1.56.

- (4) I hereby claim the benefit under Title 35, U.S.C. § 119(e) of any United States provisional application(s) listed below.

Application Serial Number

Filing Date -

 I claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) of the foreign application(s) for patent or inventor's certificate listed below, and I have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)	Priority Claimed
<u> </u>	<u> </u>

Number

Country

Day/Month/Year

Yes

No

 I claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as this application discloses and claims subject matter not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which occurred between the filing date of the prior application and the national or Patent Cooperation Treaty International filing date of this application:

Application Serial Number

Filing Date

Patented/Pending/Abandoned

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

- (7) **POWER OF ATTORNEY:** I The undersigned applicant(s) for the above-identified application hereby appoint(s) John WEldredge (37, 613):

and the attorneys and agents doing business as the law firm STRADLING, YOCCA, CARLSON & RAUTH, telephone (949) 725-4000, as principal attorney with power to appoint associate attorneys, to prosecute this application and any international application under the Patent Cooperation Treaty based on it and to transact all business in the U.S. Patent and Trademark Office connected with either of them in accordance with instructions from the assignee of the entire interest in this application; or from the first or sole inventor named below in the event the application is not assigned; or from

 in the event the power granted herein is for an application filed on behalf of a foreign attorney or agent. The authority under this Power of Attorney of each person named above shall automatically terminate and be revoked upon such person ceasing to be a member or associate of or of counsel to that law firm.

SEND CORRESPONDENCE TO: STRADLING YOCCA CARLSON & RAUTH,
1600 Newport Center Drive, Suite 1600
Newport Beach, California 92660

DIRECT TELEPHONE CALLS TO: Attorney: John W. Eldredge (949) 725-4143

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Rev. 5/00

**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATIONS**

PATENT

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